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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

2176  
#7  
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02-6-03

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/981,422
Filing Date	10/17/01
First Named Inventor	Dispensa
Group Art Unit	2176
Examiner Name	unknown
Attorney Docket Number	1587

Total Number of Pages in This Submission

2

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
**13 US Patents and 2 other prior art--non patent literature documents**

Remarks

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

It is believed that no fees are due in this matter. However, if it is determined that fees are due, the Commissioner is authorized to debit Deposit Account No. 21-0765 for the required fees.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eugene G. Kim, Reg. 46,267
Signature	<i>Eugene Kim</i>
Date	1/24/03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1-27-03

Typed or printed name Laura S. Melblom

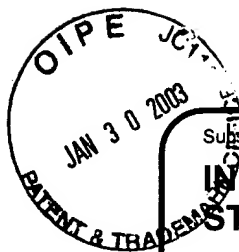
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Date

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 2

**Complete if Known**

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Attorney Docket Number	1587

**RECEIVED**  
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Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-5,347,304	9-13-1994	Moura et al.	
	2	US- 5,586,121	12-17-1996	Moura et al.	
	3	US- RE. 35,774	4-21-1998	Moura et al.	
	4	US- 5,818,845	10-6-1998	Moura et al.	
	5	US- 5,828,655	10-27-1998	Moura et al.	
	6	US- 5,859,852	01-12-1999	Moura et al.	
	7	US- 5,946,322	08-31-1999	Moura et al.	
	8	US- 5,956,346	09-21-1999	Levan	
	9	US- 5,959,660	09-28-1999	Levan	
	10	US- 5,959,997	09-28-1999	Moura et al.	
	11	US- 6,005,850	12-21-1999	Moura et al.	
	12	US- 6,016,316	01-18-2000	Moura et al.	
	13	US- 6,104,727	08-15-00	Moura et al.	
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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

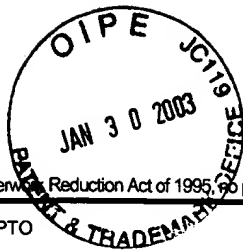
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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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Technology Center 2100**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	14	DAWSON, FRED, "Broadband Wireless for Biz: Rmblings," Infrastructure Moves Suggest Rollouts Nearing, Adaptive Broadband Corp. Network Solutions pgs. 52-66 October 2000	
	15	Veritas NerveCenter: Downstream Alarm Suppression, Veritas Software Corporation, 1998-1999, pgs. 1-32	

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